

Data of complaints against the Exchange

❖ Data for the month ending: January 2022

Sr. No	Received From	Carried forward from previous month	Received during the month	Total Complaints	Resolved during the month*	Pending at the end of the month**		Average resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	-
2	SEBI (SCORES)	0	0	0	0	0	0	-
3	Members	0	0	0	0	0	0	-
4	Other Sources (if any)	0	0	0	0	0	0	-
	Grand Total	0	0	0	0	0	0	-

* Includes complaints of previous months resolved in the current month, if any.

** Includes total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

❖ Month-wise data for the current financial year 2021-2022

Sr. No	Month	Carried Forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	April, 2021	0	0	0	0
2	May, 2021	0	0	0	0
3	June, 2021	0	*1	0	1
4	July, 2021	0	0	0	0
5	August, 2021	0	0	0	0
6	September, 2021	0	0	0	0
7	October, 2021	0	0	0	0
8	November, 2021	0	0	0	0
9	December, 2021	0	0	0	0
10	January, 2022	0	0	0	0
11	Till February 10, 2022	0	0	0	0
	Grand Total		0	0	0

*The Complaint was received on June 30, 2021 from MSB E Trade Securities Limited regarding non-payment of LES incentives.

Now the Matter is in Court. The Next hearing for the case is on April 22, 2022.

❖ Year-wise data (for 5 years on rolling basis)

Sr. No	Year	Carried Forward from previous year	Received	Resolved	Pending
1	2	3	4	5	6
1	2021-2022	0	1	0	1
	Grand Total		1	0	1