

(On the letter head of the member)
Application for Enabling Pro Trade from one location

Date _____

Member ID: _____

To,
The Trading Department
Indian Commodity Exchange Limited,
403-A, Reliable Tech Park, B-Wing, 4th Floor,
Thane-Belapur Road,
Airoli, Navi Mumbai – 400 708
Board: +91 22 4038 1500
Fax: +91 22 4038 1513

Dear Sir,

Sub.: Enabling User IDs for Trade in Proprietary (OWN) account from one location (default location).

I/We, _____ (name of the member and member ID) Trading Member of ICEX, wish to avail the facility of placing orders on “Pro-account” through trading terminal from default location.

In this regard, I/we are furnishing the following details:

Default location where Pro-account is to be enabled:

Sr.	User ID	User Name	Location / City	Pin code

Please do the needful.

For (Name of Member)

Authorized Signatory

(Designated Director / Managing Partner / Proprietor / Karta)

(On the letter head of the member)
Application for Enabling Pro Trade from more than one location

Date _____

Member ID: _____

To,
The Trading Department
Indian Commodity Exchange Limited,
403-A, Reliable Tech Park, B-Wing, 4th Floor,
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Sub.: **Enabling User IDs for Trade in Proprietary (OWN) account from more than one location.**

Dear Sir,

I/We, _____ (name of the member and member ID) Trading Member of ICEX, wish to avail the facility of placing orders on “Pro-account” through trading terminal from more than one location. I/We hereby confirm that the undertaking for availing proprietary account order entry facility has been submitted by us to the Exchange. In this regard, I/we are furnishing the following details:

A. Default location where Pro-account is be being availed:

Sr.	User ID	User Name	Location / City	Pin code

B. Additional locations (Can be provided as separate Annexure):

Sr.	User ID	User Name	Location / City	Pin code	Name of the Director (s)/Partner(s)/ Authorized Supervising Person stationed at the location	Reason for enabling Pro Trading

Please do the needful.

For (Name of Member)

Authorized Signatory
(Designated Director / Managing Partner / Proprietor / Karta)

Undertaking for availing Proprietary Account Trading Facility

I/We _____, an individual /a firm registered under the Indian Partnership Act, 1932 / a Company / body corporate incorporated under the Companies Act of 1956 and any amendments thereto, and residing at / having our registered office at _____ give this UNDERTAKING on this the _____ day of _____ 20__ at _____ IN FAVOUR of **Indian Commodity Exchange Limited**, a company incorporated under the Companies Act, 1956, with its registered office at 1st Floor, Office-109, Blue Diamond, Nodh No: 1158 to 63/65/9, Hat Faliyu, Mahidharpura, Surat, Gujarat-395003 (hereinafter called "ICEX")

WHEREAS

ICEX provides the national level trading platform through Automated Trading software to enable its Trading Members for order entry, receipt of order and trade confirmation and also for receipt of data relating to its trade quotations.

ICEX, has prescribed that the facility of placing orders on "Pro-account" through trading terminals shall be availed by the Trading Members only at one location of the Trading members as specified / required by the Trading Members; any trading terminal located at a place other than the above location shall have a facility to place order only for and on behalf of a Constituent by entering client code details as required by ICEX / SEBI; and In case any Trading Member requires the facility of using "Pro-account" through trading terminals from more than one location, such Trading Member shall request the Exchange stating the reason for using the "Pro-account" at multiple locations.

ICEX as a precondition to the Undersigned being permitted the facility of using "Pro-account" through trading terminals from more than one location, requires me/us to furnish the undertaking in the manner and on the terms herein below:-

NOW, THEREFORE IN CONSIDERATION OF ICEX having agreed to allow me/us at my/our request to avail the Pro-account trading facility from more than one location, I/we hereby IRREVOCABLY AND UNCONDITIONALLY UNDERTAKE and agree to abide by and be bound by the following terms and conditions:-

1. I/we undertake to enter proprietary orders only from the Pro-account terminals permitted by ICEX and undertake not to misuse the said "Pro-account" facility and state that the proprietary trades on the Pro-account terminals pertain to proprietary trades and not client trades.
2. I/we state that our Director(s) / Partner(s) is/are based at the location where the "Pro-account" trading terminals are located. The Pro-account facility shall be made available to the Approved Users only after obtaining the prior written consent of ICEX and subject to such terms and conditions as may be prescribed by ICEX from time to time.
3. I/we undertake not to use the "Pro-account" trading terminals for purposes other than the specified reason (s).

4. I/we undertake to take all such steps and/or precautions to ensure and keep ensured that the 'Pro-account' facility is not extended to a location other than the location of the trading terminals where the "Pro-account" facility is permitted by ICEX.
5. ICEX, at its absolute discretion, may make the Pro-account facility available to me / us only from the date of my/ our enablement on the Pro - account facility till such time as it may deem fit and further that the Pro-account facility may at any time be withdrawn by ICEX at its discretion without giving me / us any prior notice or any reasons whatsoever.
6. I/we shall execute, sign, and subscribe, to such other documents, papers, agreements, covenants, bonds, and / or undertakings as may be prescribed or required by ICEX from time to time.
7. I/we undertake to abide by all the provisions of the Bye-laws, Rules, Regulations and Circulars/norms and requirements that may be in force from time to time relating to use and operation of the Trading System and that they shall also mutatis mutandis become applicable to the use and operation of the Pro-account facility.
8. I/we undertake to render all possible assistance and cooperation to ICEX by providing all information in any form as it may require and shall produce such documents, records, accounts, books, data howsoever stored including data stored in magnetic tapes, floppy diskettes, other storage mediums etc. and any other information as may be required by ICEX at its discretion.

IN WITNESS WHEREOF this Undertaking is executed by the undersigned on the day, month, year and the place first mentioned above.

Signed by, for and on behalf of:

Before me

Instructions for Undertaking

The Undertaking is to be executed on a non-judicial stamp paper worth Rs. 100/-if executed in State of Maharashtra. If this Undertaking is executed outside the State of Maharashtra, then it must be executed on a non-judicial stamp paper stamped in accordance to the duty as payable in the place of execution or on a non-judicial stamp paper worth Rs.100/-whichever is higher.

Further this Undertaking (including all annexures / schedules) has to be notarized before a Notary Public.

All the pages of this Undertaking (including all annexures / schedules) have to be signed in full. The persons signing should also sign in full at all places in the Undertaking where anything has been hand-written / any corrections have been made.

If the Trading Member is an individual, then the Undertaking has to be signed by the individual Trading Member himself. If the Trading member is a firm, then at least two partners are required to sign this Undertaking. If the Trading Member is a corporate, then the Undertaking has to be signed by the Managing Director or any two Directors of the company named as an authorized signatories of the company. In this case, the Undertaking has to be accompanied with a certified copy of the resolution of the Board of Directors of the company authorizing the person(s) executing the Undertaking to do so. The Common Seal of the company has to be affixed by the company on this Undertaking in the presence of such persons as authorized by the Articles of Association of the company. The Board Resolution should clearly state that the affixation of common seal shall be made in the presence of such persons as authorized by the Articles of Association of the company and should also clearly state the names of such persons. The above persons should sign the Undertaking as a token of their presence when the Common Seal is affixed.